# REGISTRATION TO DIRECTORY OF OPEN ACCESS JOURNAL



July 7, 2017, Ho Chi Minh, Vietnam
The 4th asian science editors' conference

<u>Dr. Xin Bl</u> xinbi@doaj.org

itan Ohina ambaasadan DOAL

Editor, China ambassador, DOAJ
University Librarian, Xi'an Jiaotong-Liverpool University

www.doaj.org

Personal Blog on Open Access <a href="https://smartandsure.org">https://smartandsure.org</a>
Full Text of <a href="Quality open access publishing and registration to Directory of Open Access Journals">Access Journals</a>
<a href="http://orcid.org/0000-0002-9746-6506">http://orcid.org/0000-0002-9746-6506</a>

## You are free to:



Copy, share, adapt, or re-mix;













Blog, live-blog, or post video of;

## This presentation. Provided that:



You attribute the work to its author and respect the rights and licenses associated with its components.



## Outline

- Introduction to DOAJ
- Quality Open Access Publishing
- Copyright and Licensing
- DOAJ Criteria and Requirements



## What is the DOAJ?



- Directory of Open Access Journals (started in 2003)
- Centrally, publicly and internationally available community-curated list of high quality open access journal titles across all disciplines
- Professional staff and ambassador team of publication specialists in many regions of the world
- Increasingly used by funders, universities and government bodies to decide which scientists / groups can receive funding
  - eg Science Europe (EU) requires scientists to publish in DOAJ, Scopus or WoS listed journals for EU funded research



## The Benefits of Open Access



- Articles receive more citations
- Reaches a bigger audience
- Leads to better education
- Avoids duplicate studies
- Articles can be more easily checked
- Data less prone to manipulation
- Better for advancing science
- Leads to more innovation

#### WHAT IS QUALITY OPEN ACCESS?



## The BOAI Definition

## Open Access is:

a publishing system where all content is freely available without charge to the user or his/her institution. Users are allowed to read, download, copy, distribute, print, search, or link to the full texts of the articles, or use them for any other lawful purpose, without asking prior permission from the publisher or the author.

## WHAT IS QUALITY OPEN ACCESS?



- Free and (almost) unrestricted access
- No Embargoes
- No Hybrid Journals
- Legal Framework for Sharing
  - OA statment adher to the BOAI definition
  - · Copyright ownership clearly described
- Use of open access licensing like Creative Commons or equivalent

## Must haves – Minimum Requirements

- An Open Access statement and comply with the BOAI definition
- A peer-review process, and describe the kind of process
- An editor/editorial board with clearly identifiable members
- Licensing and copyright information (CC or similiar to CC)
- Aims and scope
- Published a least 5 articles per year to qualify (DOAJ criteria)

## QUALITY OPEN ACCESS JOURNALS



## Recommendations

- Unrestricted copyright for the author
- No exclusive publishing rights
- No transfer of commercial rights
- Clear licensing conditions
- Preferably use of Creative commons licensing
- Embedded licensing information with articles
- Link to underlying data
- No mention of IF, instead use journal citation distribution plots





#### **DOAJ Seal**

#### The qualifiers for the DOAJ Seal

DOAJ promotes best practice in Open Access publishing. To highlight journals that adhere to best practices, we have created the 'DOAJ Seal for Open Access Journals'.

The qualifiers for the Seal highlight features related to accessibility, openness, discoverability, reuse and author rights and have nothing to do with the scholarly quality of the papers published.

To qualify for the Seal the journal must:

- have an archival arrangement in place with an external party (Question 25). 'No policy in place' does not qualify for the Seal.
- provide permanent identifiers in the papers published (Question 28). 'None' does not qualify for the Seal.
- provide article level metadata to DOAJ (Question 29). 'No' or failure to provide metadata within 3 months do not qualify for the Seal.
- embed machine-readable CC licensing information in article level metadata (Question 45). 'No' does not qualify for the Seal.
- allow reuse and remixing of content in accordance with a CC BY, CC BY-SA or CC BY-NC license (Question 47). If CC BY-ND, CC BY-NC-ND, 'No' or 'Other' is selected the journal will not qualify for the Seal.
- have a deposit policy registered in a deposit policy directory. (Question 51) 'No' does not qualify for the Seal.
- allow the author to hold the copyright without restrictions. (Question 52) 'No' does not qualify for the Seal.

One cannot apply for the Seal. The Seal is awarded based on the information provided in the application. If you have any questions about any of the qualifiers, contact us.

## WHAT IS A QUALITY PUBLISHING?





#### COPE, OASPA, WAME & DOAJ:

## Principles of Transparency and Best Practice in Scholarly Publishing

#### Introduction

The Committee on Publication Ethics, the Directory of Open Access Journals, the Open Access Scholarly Publishers Association, and the World Association of Medical Editors are scholarly organizations that have seen an increase in the number of membership applications from both legitimate and non-legitimate publishers and journals. Our organizations have collaborated in an effort to identify principles of transparency and best practice that set apart legitimate journals and publishers from non-legitimate ones and to clarify that these principles form part of the criteria on which membership applications will be evaluated.

These criteria are largely derived from those developed by the Directory of Open Access Journals. Note that additional membership criteria may also be used by each of the scholarly organizations. The organizations intend to share information in order to develop lists of legitimate journals and publishers. We do not intend to develop or publish a list of publishers or journals that failed to demonstrate they met the criteria for transparency and best practice.

This is a work in progress and we welcome feedback on the general principles and the specific criteria. Background on the organizations is below.

## WHAT IS A QUALITY PUBLISHING?



## The Principles

- 1. Peer review process
- 2. Governing Body
- 3. Editorial team/contact
- 4. Author fees
- 5. Copyright
- 6. Identification of and dealing with allegations of research misconduct
- 7. Ownership and management

- 8. Website
- 9. Name of journal
- 10. Conflicts of interest
- 11. Access
- 12. Revenue sources
- 13. Advertising
- 14. Publishing schedule
- 15. Archiving
- 16. Direct marketing

## WHAT IS A QUALITY PUBLISHING?



## Editorial "quality"

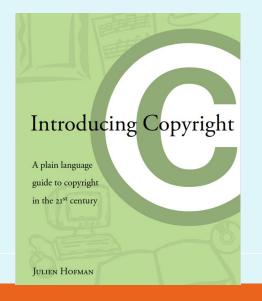
# QUALITIY AND TRANSPARENCY OF THE EDITORIAL PROCESS

- The journal must have two editors (Arts & Humanities) or an editorial board of 5 members, all members must be easily identified and have a good reputation
- Specification of the review process
  - o Editorial review, Peer Review, Blind Peer Review, Double Blind Peer Review, Open Peer Review, Other
- Statements about aims & scope clearly visible
- Instructions to authors shall be available and easily located
- Screening for plagiarism?
- Time from submission to publication

#### **COPYRIGHT AND LICENCING**



- Copyright for the author
- OR Copyright Transfer
- Licenses defining open access usage conditions



© Julien Hofman and Commonwealth of Learning, 2009

Any part of this document may be reproduced without permission but with attribution to Julien Hofman and the Commonwealth of Learning. The document cannot be reused commercially and cannot be altered, transformed or built upon.

CC-BY-NC-ND (share alike with attribution, non-commercial and no derivative works)

http://creativecommons.org/licenses/by-nc-nd/3.0

The moral rights of Julien Hofman to be recognised as the author of this work have been asserted.

#### **COPYRIGHT AND LICENCING**





## Copyright in perspective

- Intellectual property right
- Copyright
- Publishing right
- Fair use
- License (definition)



#### **COPYRIGHT AND LICENCING**





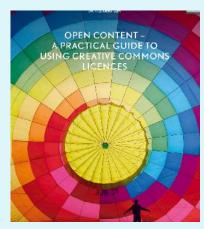
#### What is a license?

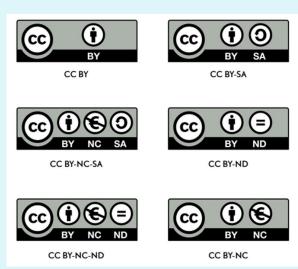
- Licensing means to grant a third party (anyone else except the right holder) the right to use a copyright-protected work
- A license is a permission to use a work in specific ways
- Licenses can only be granted by copyright holder
- Copyright holder can be author or publisher

#### **CREATIVE COMMONS**



- Non profit organization
- Legal framework for licenses (publications, music)
- Not for patents, trademarks
   which are part of the
   intellectual property rights







## Quality of Publishing – DOAJ Criteria



- CRITERIA FOR PUBLISHING
  - Principles of transparency and Best Practice in Scholarly Publishing
  - DOAJ criteria for Quality Open Access Publishing

#### science open research

#### Criteria for open access and publishing

Tom Olijhoek\*, Dominic Mitchell, and Lars Bjørnshauge

Directory of Open Access Journals (DOAJ), United Kingdom

\*Corresponding author's e-mail address: tom.olijhoek@gmail.com

Published online: 16 November 2015 (version 1)

Cite as: Olijhoek et al. ScienceOpen Research 2015 (DOI: 10.14293/S2199-1006.1.SOR-EDU.AMHUHV.v1)

Reviewing status: Please note that this article is under continuous review. For the current reviewing status and the latest referee's comments please click here or scan the QR code at the end of this article.



https://doaj.org/publishers

# Required information for inclusion in the DOAJ

\*Basic requirement



## Journal Web Site (1)\*

Dedicated web site per journal – journal specific web address\*

- All journal content centrally available not spread over various pages
- Do not mimic other journal web sites
- Web site clear, concise, easy to navigate, transparent, up to date and correct content – high ethical and professional standards
- Language & grammar usage correct, spell check



## Journal Web Site (2)\*

Visible links to business information

- Avoid distracting, offensive, irrelevant, moving, blinking advertisements
- Unique identifier (web address) \*:
  - Journal level
  - Article metadata level (also DOI)
  - Full text article level (pdf, html, xml, epub)
- ISSN (International Standard Serial Number) \*
  - Print ISSN and/or
  - Online ISSN



#### **Journal Content**

- Clear journal structure for easier navigation, indexing, discoverability
- Publication date for each article
- Publication year per volume/issue
- Volumes & issues; 5 articles per year
- Start & end page number
- Authors, affiliations, countries, ORCIDs
- Articles arranged in Table of Contents
- Search/Browse option
- Links to Current, Archive/Past Issues



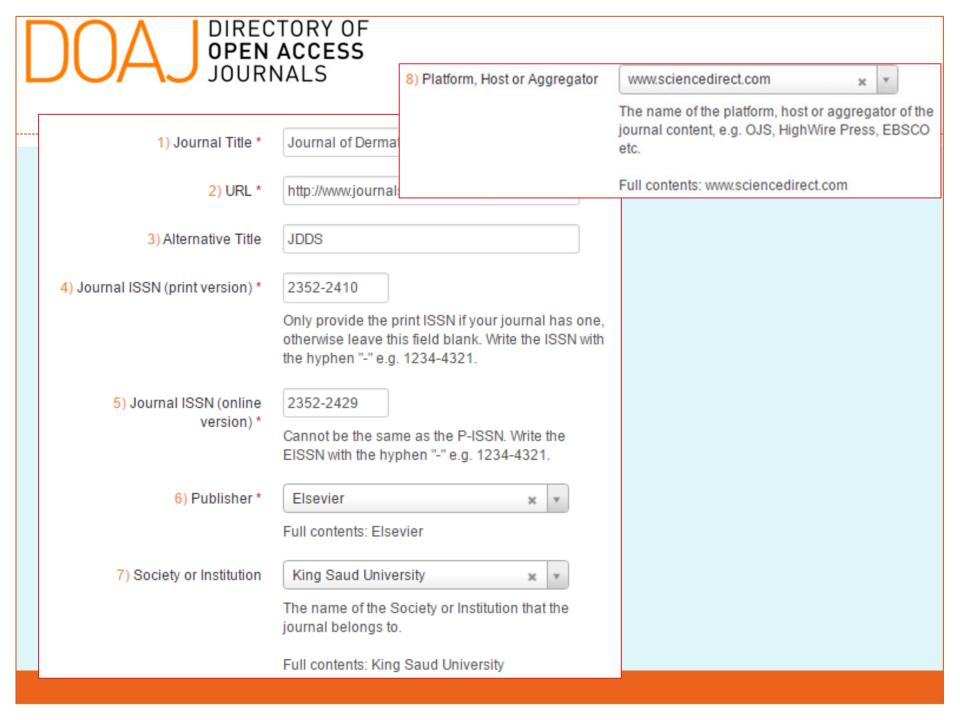
## **Ownership & Management**

- Journal title unique not confusing/misleading
- Avoid using misleading information
- Each journal unique, journal specific policies
- All business information about journal available from central web site for journal\* - not generic web site for publisher



#### **Business Information\***

- About
- Aims and Scope
- Editorial Board
- Instructions for Authors
- Quality control system
- Open Access system
- Plagiarism policy
- Licensing terms
- Contact info



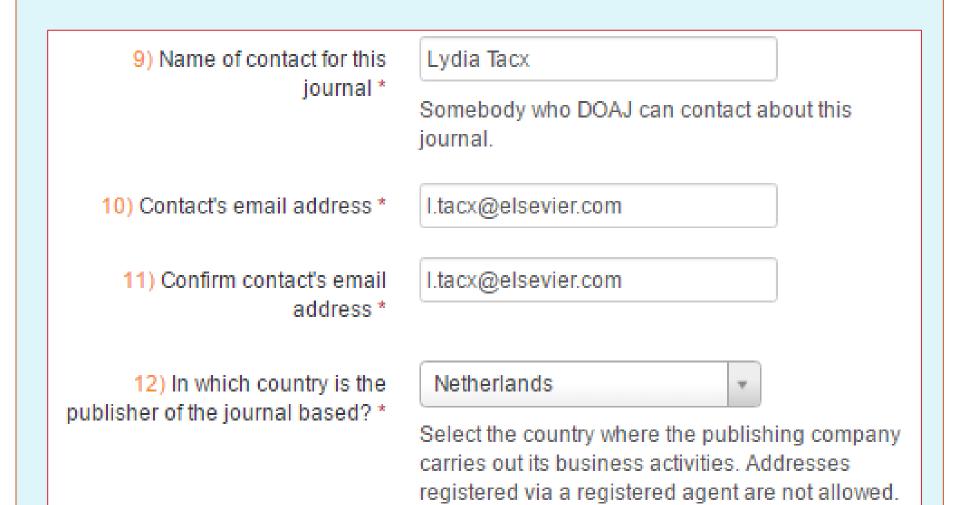


#### **Editorial Office & Editorial Team**

- Editor\*/Editor-in-Chief/Associate Editor/Co-Editor
- Full names, Affiliations, Countries, Emails\*
- Postal address of office
- Contact information: email/telephone









## **Governing Body**

- Editorial Board\*/Editorial Advisory Board
- Arts & Humanities allowed editorial review, 2 editors, no editorial board
- Members to be experts in field/journal scope
- Full names, Affiliations, Countries, Emails\*



36) What is the URL for the Editorial Board page? \* http://www.journals.elsevier.com/journal-of-de

A journal must have an editor and an editorial board. Only in the case of Arts and Humanities journals we will accept a form of editorial review using only two editors and no editorial board. Where an editorial board present, at least 5 of its members must be clearly identifiable with their affiliation information.



## Aims & Scope

34) Add keyword(s) that best describe the journal (comma delimited) \*

Dermatology Plastic Surgery

 skin diseases and skin surgery

 morphology

 pharmacology and therapeutics

 dermatologic surgery

Maximum 6. Keywords must be in English.

39) What is the URL for the journal's Aims & Scope \* http://www.journals.elsevier.com/journal-of-de



#### **Author Fees**

- Article Processing Charges (APCs)
- Article Submission Charges (APCs)
- Manuscript Handling Fees
- Galley Fees, page charges, colour charges, etc.
- Per article (incl. any taxes if applicable) not per page
- Waiver policy
- Clearly visible for prospective authors also if no charges apply\*



# DIRECTORY OF OPEN ACCESS JOURNALS

- 13) Does the journal have article processing charges (APCs)? \*
- Yes
- No
- 14) Enter the URL where this information can be found

http://www.elsevier.com/journals/journal-of-de

- 17) Does the journal have article submission charges? \*
- Yes
- No
- 18) Enter the URL where this information can be found

http://www.elsevier.com/journals/journal-of-de

- 23) Does the journal have a waiver policy (for developing country authors etc)? \*
- Yes
  - D No

24) Enter the URL where this information can be found \*

http://www.elsevier.com/about/corporate-responder-



#### **Peer Review Process\***



- Advice on individual manuscripts from reviewers/ experts in the field who are not part of the journal's editorial staff
- Quality control rigorous
- Process & policies clearly described on journal's web site
- Editorial/Peer Blind/Double blind/Open\*



37) Please select the review process for papers \*

38) Enter the URL where this information can be found \*

Double blind peer review

Editorial review

Peer review

Blind peer review

Double blind peer review

Open peer review

None



#### **Instructions for Authors\***

- Detailed style guide
- Description of quality control process (review)
- Copyright information
- Licensing information
- Plagiarism policy
- Instructions on how to submit an article
- Contact email address



40) What is the URL for the journal's instructions for authors? \*

http://www.elsevier.com/journals/journal-of-de







#### Access & Usage

- Full text of all content available as Open Access, no delay/embargo\*
- How accessible is journal to the rest of the world, harvesters
- Open Access or Subscription Access or Pay per view
- Journal specific OA statement/policy\*
- Differentiate between OA statement Copyright -Licensing

27) Does the journal allow Yes software/spiders to automatically No crawl the journal content (also known as text mining)? \* ■ None 28) Which article identifiers does DOI the journal use? \* Handles □ ARK Other Does the journal provide, or Yes intend to provide, article level No metadata to DOAJ? \* If yes, metadata must be provided within 3 months of acceptance into DOAJ. 30) Does the journal provide Yes article download statistics? \* No If "No" proceed to question 32.



Please remember that all the content of the journal you are applying about must be available immediately upon publication.

44) What is the URL for the journal's Open Access statement? \* http://www.elsevier.com/journals/journal-of-de

50) Does the journal allow readers to read, download, copy, distribute, print, search, or link to the full texts of its articles and allow readers to use them for any other lawful purpose? \*

- Yes
- No

From the Budapest Open Access Initiative's definition of Open Access.







#### **Rights**

- Recommend: author retains copyright
- Recommend: no exclusive publishing rights
- Recommend: no transfer of commercial rights

https://doajournals.wordpress.com/2015/06/02/copyright-and-licensing-part-2/

| 52) Does the journal allow the author(s) to hold the copyright without restrictions? *       | Yes     No     Other                           |
|--|--|
| 53) Enter the URL where this information can be found *                                      | http://www.elsevier.com/journals/journal-of-de |
| 54) Will the journal allow the author(s) to retain publishing rights without restrictions? * | <ul><li>Yes</li><li>No</li><li>Other</li></ul> |
| 55) Enter the URL where this information can be found *                                      | http://www.elsevier.com/journals/journal-of-de |



#### **Content Licensing**

- Clearly described on web site
- Licensing terms on all articles, all versions (html, pdf, xml etc.)
- Embedded in article level metadata

| 45) Does the journal embed or<br>display simple machine-<br>readable CC licensing<br>information in its articles? *   | <ul> <li>Yes</li> <li>No</li> <li>For more information go to<br/>http://wiki.creativecommons.org/CC_REL</li> </ul>   |
|---|--|
| 46) Please provide a URL to an example page with embedded licensing information *   | If "No" proceed to question 47.  http://www.sciencedirect.com/science/article/p  |
| 47) Does the journal allow reuse and remixing of content in accordance with a Creative Commons license or other type of license with similar conditions (Select 'Other')? * | <ul> <li>CC BY</li> <li>CC BY-NC</li> <li>CC BY-NC-ND</li> <li>CC BY-NC-SA</li> <li>CC BY-ND</li> <li>CC BY-SA</li> <li>No</li> <li>Other</li> </ul> For more information go to http://creativecommons.org/licenses/ |

49) Enter the URL on your site where your license terms are

stated

http://www.elsevier.com/journals/journal-of-de





This license lets others distribute, remix, tweak, and build upon your work, even commercially, as long as they credit you for the original creation. This is the most accommodating of licenses offered. Recommended for maximum dissemination and use of licensed materials.

View License Deed | View Legal Code



Attribution-ShareAlike

CC BY-SA



This license lets others remix, tweak, and build upon your work even for commercial purposes, as long as they credit you and license their new creations under the identical terms. This license is often compared to "copyleft" free and open source software licenses. All new works based on yours will carry the same license, so any derivatives will also allow commercial use. This is the license used by Wikipedia, and is recommended for materials that would benefit from incorporating content from Wikipedia and similarly licensed projects.

View License Deed | View Legal Code

https://creativecommons.org/licenses/



**Attribution-NoDerivs** 

CC BY-ND



This license allows for redistribution, commercial and non-commercial, as long as it is passed along unchanged and in whole, with credit to you.

View License Deed | View Legal Code



Attribution-NonCommercial

CC BY-NC



This license lets others remix, tweak, and build upon your work non-commercially, and although their new works must also acknowledge you and be non-commercial, they don't have to license their derivative works on the same terms.

View License Deed | View Legal Code

https://creativecommons.org/licenses/





Attribution-NonCommercial-ShareAlike

CC BY-NC-SA



This license lets others remix, tweak, and build upon your work non-commercially, as long as they credit you and license their new creations under the identical terms.

View License Deed | View Legal Code



Attribution-NonCommercial-NoDerivs

CC BY-NC-ND



This license is the most restrictive of our six main licenses, only allowing others to download your works and share them with others as long as they credit you, but they can't change them in any way or use them commercially.

View License Deed | View Legal Code

https://creativecommons.org/licenses/



#### **Depositing Policy**

51) With which deposit policy directory does the journal have a registered deposit policy? \*

- None
- Sherpa/Romeo
- Dulcinea
- OAKlist
- ─ Héloïse
- Diadorim
- Other

Select all that apply.





http://www.sherpa.ac.uk/romeo/index.php



#### **Ethics & Malpractice**



- Indicate steps to identify & prevent papers where research misconduct occurred
  - Unethical
  - Plagiarism (statement & similarity check tool)
  - Citation manipulation
  - Data falsification/fabrication
- See COPE Guidelines in dealing with allegations



41) Does the journal have a policy of screening for plagiarism? \*

Yes

No

If "No" proceed to question 43.

42) Enter the URL where this information can be found \*

http://www.elsevier.com/editors/publishing-eth

The URL should state that the journal actively checks for plagiarism and detail how this is done.

43) What is the average number of weeks between submission and publication? \* 16



#### **Conflict of Interest**

 Policy on handling potential conflict of interest editors, authors, reviewers





### **Financial Sustainability**

- Revenue sources (eg author fees, subscriptions, advertising, reprints, institutional support, organizational support)
- Advertising policy, types of ads, decision making on ads, ads linked to content or reader behavior/displayed at random
- Marketing: appropriate, well targeted, unobtrusive



#### **Publishing Schedule**

- Clearly indicate periodicity
- No interruptions
- Annually, bi-annually, monthly, bi-monthly, continues, etc.
- Number of volumes, issues



21) How many research and review articles did the journal publish in the last calendar year? \* 5

A journal must publish at least 5 articles per year to stay in the DOAJ.

22) Enter the URL where this information can be found \*

http://www.sciencedirect.com/science/journal/.



#### **Accessibility & Availability**

32) What was the first calendar year in which a complete volume of the journal provided online Open Access content to the Full Text of all articles? (Full Text may be provided as PDFs. Does not apply for new journals.) \*

2014

Use 4 digits for the year, i.e. YYYY format.

33) Please indicate which formats of full text are available \*

- PDF
- □ ePUB
- XML
- Other

Tick all that apply.



35) Select the language(s) that the Full Text of the articles is published in \* ★ English

You can select multiple languages.

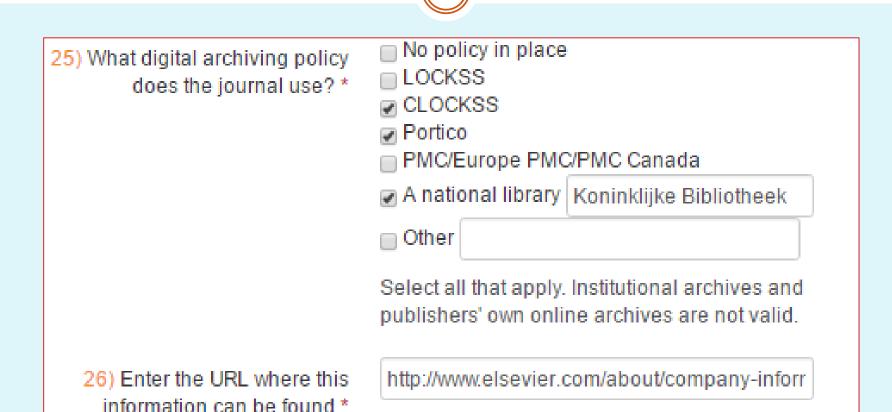
43) What is the average number of weeks between submission and publication? \* 16





#### **Digital Archiving & Preservation**

- Electronic backup
  - Cloud, disk, server, tapes, etc
- Preservation Keepers' Registry & PubMed Central
  - Portico
  - Open Journal Systems (OJS) PKP Private LOCKSS network deposited in CLOCKSS



place".

This field is optional if you selected "No policy in



#### Thanks to all the Library Consortia, Universities and Publishers and our Sponsors for the financial support to DOAJ!

































